

PHS Administrative Action Report - 09/22/2017

| Last Name           | First Name      | Middle Name | Debarment until | No PHS Advisory until | Certification of work until | Supervision until | Retraction of Article(s) | Correction of Article(s) | Memo   |
|---------------------|-----------------|-------------|-----------------|-----------------------|-----------------------------|-------------------|--------------------------|--------------------------|--|
| <u>Anderson</u>     | <u>David</u>    |             | N/A             | 06/22/2018            | 06/22/2018                  | 06/22/2018        |                          |                          |  |
| <u>Baughman</u>     | <u>Brandi</u>   |             | N/A             | 05/16/2020            | 05/16/2020                  | 05/16/2020        |                          |                          |  |
| <u>Bitzegeio</u>    | <u>Julia</u>    |             | N/A             | 06/22/2018            | 06/22/2018                  | 06/22/2018        |                          |                          |  |
| <u>Blaylock</u>     | <u>Brandi</u>   | <u>Lyn</u>  | N/A             | 08/03/2018            | 08/03/2018                  | 08/03/2018        |                          |                          | If within 3 years, Respondent receives or applies for PHS support, certification and supervision will be for a period of 3 years beginning on the date of her employment in a position in which she receives PHS support. If she does not receive or apply for PHS support within 3 years, the admin actions are no longer in effect as of 08/03/2018. |
| <u>Briones</u>      | <u>Teresita</u> | <u>L.</u>   | 03/11/2018      | 03/11/2018            | N/A                         | N/A               |                          |                          |  |
| <u>Cullinane</u>    | <u>Andrew</u>   | <u>R.</u>   | N/A             | 05/05/2021            | 05/05/2026                  | 05/05/2026        |                          |                          | If respondent receives or applies for PHS funds in the next 5 years, his research must be supervised and certified for a period of 10 years from the start date of his employment where he receives PHS support.   |
| <u>D'Souza</u>      | <u>Karen</u>    | <u>M.</u>   | N/A             | 05/05/2018            | 05/05/2018                  | 05/05/2018        |                          |                          |  |
| <u>Dasmahapatra</u> | <u>Girija</u>   |             | 11/04/2018      | 11/04/2018            | N/A                         | N/A               |                          |                          |  |
| <u>Deb</u>          | <u>Kaushik</u>  |             | 11/16/2017      | 11/16/2017            | N/A                         | N/A               |                          |                          |  |

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| <u>Dzhura</u>      | <u>Igor</u>     |             | 10/28/2017      | 10/28/2017            | N/A                         | N/A               |                          |                          |  |
| <u>Forbes</u>      | <u>Meredyth</u> | <u>M.</u>   | 05/05/2019      | 05/05/2019            | N/A                         | N/A               |                          |                          |  |
| <u>Fujita</u>      | <u>Ryousuke</u> |             | 03/17/2018      | 03/17/2018            | N/A                         | N/A               |                          |                          |  |
| <u>Geraedts</u>    | <u>Maartje</u>  | <u>C.P.</u> | N/A             | 09/22/2018            | N/A                         | N/A               |                          |                          | Supervision and certification for three years beginning on date of employment in a position in which respondent receives PHS funds. If respondent has not obtained employment in research position in which she receives PHS funds within one year, supervision and certification no longer apply. |
| <u>Kang</u>        | <u>Bin</u>      |             | N/A             | 12/17/2017            | 12/17/2017                  | 12/17/2017        |                          |                          |  |
| <u>Kornak</u>      | <u>Paul</u>     | <u>H</u>    | For life        | N/A                   | N/A                         | N/A               |                          |                          |  |
| <u>Li</u>          | <u>Zhiyu</u>    |             | 07/02/2021      | 07/02/2021            | N/A                         | N/A               |                          |                          |  |
| <u>Littlefield</u> | <u>Peter</u>    |             | N/A             | 08/03/2018            | 08/03/2018                  | 08/03/2018        | ✓                        |                          | The VSA was a 3-way with respondent and institution.   |

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| <u>Malhotra</u>    | <u>Ricky</u> |             | N/A             | 05/05/2021            | 05/05/2021                  | 05/05/2026        |                          |                          | Supervision for ten years beginning on date of employment in a position in which respondent receives PHS funds. If respondent has not obtained employment in research position in which he receives PHS funds within five years, supervision no longer applies.   |
| <u>Mirchandani</u> | <u>Alec</u>  |             | N/A             | 06/28/2018            | N/A                         | 06/28/2019        |                          |                          | Supervision - If after 2 years respondent does not become employed in a position in which he receives or applies for PHS fund, supervision is no longer in effect. If within 2 years, respondent becomes employed in a position in which he receives or applies for PHS funds, supervision is in effect for 1 year from date of his employment. |
| <u>Pastorino</u>   | <u>John</u>  | <u>G</u>    | 04/26/2021      | 04/26/2021            | N/A                         | N/A               |                          |                          |   |
| <u>Poehlman</u>    | <u>Eric</u>  | <u>I</u>    | For life        | For life              | N/A                         | N/A               | ✓                        | ✓                        |   |

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| <u>Potti</u>        | <u>Anil</u>    |              | N/A             | 09/22/2020            | 09/22/2025                  | 09/22/2025        |                          |                          | For supervision and certification, if respondent obtains employment in which he receives or applies for PHS funds within 5 years of the sanction start date, supervision and certification are effective for 5 years from the date of employment. |
| <u>Reddy</u>        | <u>Venkata</u> | <u>J</u>     | 08/26/2018      | 08/26/2018            | N/A                         | N/A               |                          |                          |   |
| <u>Sauer</u>        | <u>Frank</u>   |              | N/A             | 07/27/2020            | N/A                         | N/A               |                          |                          | Admin. action (no PHS advisory) runs consecutively with NSF's 5-year debarment, which began on 07/28/2015.  |
| <u>Smart</u>        | <u>Eric</u>    | <u>J</u>     | 10/22/2019      | 10/22/2019            | N/A                         | N/A               | ✓                        |                          |   |
| <u>Sudbo</u>        | <u>Jon</u>     |              | For life        | For life              | N/A                         | N/A               |                          |                          |   |
| <u>Thiruchelvam</u> | <u>Mona</u>    |              | 06/12/2019      | 06/12/2019            | N/A                         | N/A               |                          |                          |   |
| <u>Thomas</u>       | <u>Judith</u>  | <u>M</u>     | 05/04/2019      | 05/04/2019            | N/A                         | N/A               |                          |                          |   |
| <u>Walker</u>       | <u>Kenneth</u> |              | N/A             | 04/13/2019            | 04/13/2019                  | 04/13/2019        |                          |                          | Respondent signed VSA received 3/31/2016 via Rosenberg(Inst RIO) email to Garfinikel DIO Director.  |
| <u>Warne</u>        | <u>James</u>   | <u>P</u>     | N/A             | 11/17/2017            | 11/17/2017                  | 11/17/2017        |                          |                          |   |
| <u>Xiao</u>         | <u>Dong</u>    |              | N/A             | 12/22/2017            | 12/22/2017                  | 12/22/2017        |                          |                          |   |
| <u>Xing</u>         | <u>H.</u>      | <u>Rosie</u> | N/A             | 11/12/2017            | 11/12/2017                  | 11/12/2017        |                          |                          |   |